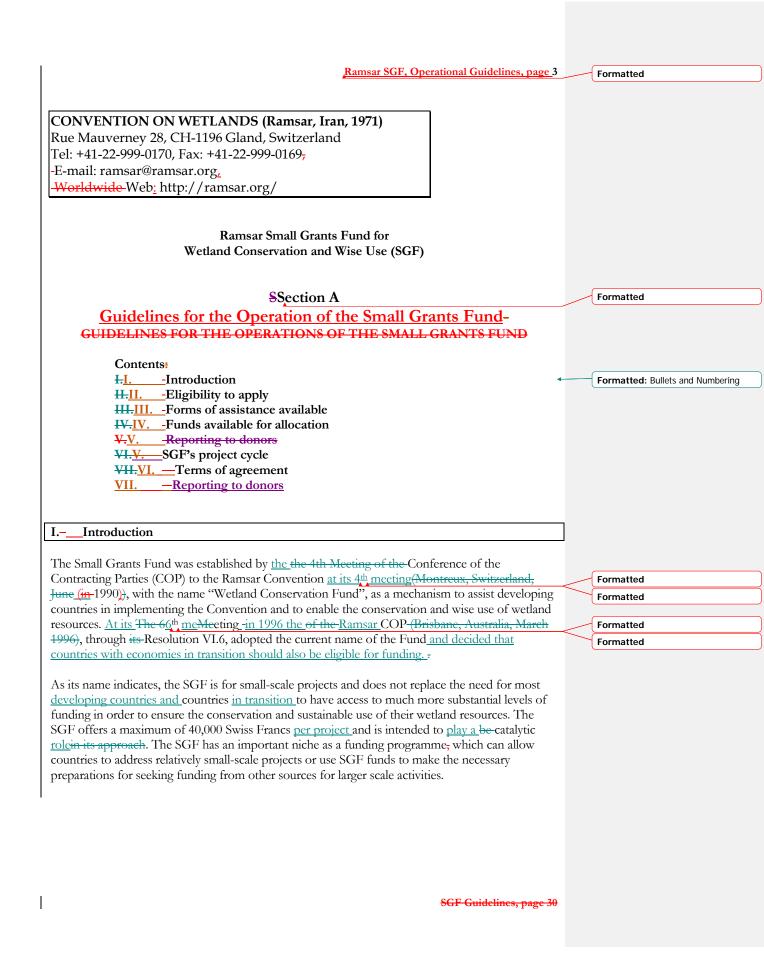
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Rue Mauverney 28, CH-1196 Gland, Switzerland	
Tel: +41-22-999-0170, Fax: +41-22-999-0169 <sub>7</sub>	
E-mail: ramsar@ramsar.org	
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Ramsar Small Grants Fund for Wetland Conservation and Wise Use (SGF)	
Draft Operational Guidelines for the Triennium	
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TRIENNIUM	
2000-2002	
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<u>a)</u> -activities that <u>clearly</u> contribute to the implementation of the <u>Ramsar</u> Convention's <u>Strategic Plan 1997-2000 (and</u> -Work Plan 2000-2002 as adopted by Resolution VII.27
of <u>–Ramsar</u> COP7) <u>;</u>
b) <u>. The SGF can also be used for</u> emergency assistance <u>related to Ramsar sites;</u> , and <u>c) countries which are not signatories to the Convention may apply for so-called</u>
'preparatory assistance', to allow <u>non-Contracting Parties them</u> -to progress toward
adhesion to the Convention.
-Further information is given on these three types of project assistance below.
Implementation of the <u>Convention Work</u> Strategie Plan <u>2000</u> 1997-2002
The SGF is open to eligible Contracting Parties (see <u>HeadingSection</u> -I <u>I</u> above) for activities which
will clearly contribute to the implementation of the Convention Work Ramsar Strategic Plan
20001997-2002, and in particular the following Operational Objectives in the Work Plan: listed
below. Please also refer to the Ramsar Convention Work Plan 2000-2002 (the annex to Resolution         VII.27) adopted at the 7 <sup>th</sup> Meeting of the COP and the targets set for the following Operational         Formatted
Objectives.

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- **Operational Objective 2.1:** To review and, if necessary, amend national or supra-national (e.g., European Community) legislation, institutions and practices in all Contracting Parties, to ensure that the Wise Use Guidelines are applied.
- **Operational Objective 2.2:** To integrate conservation and wise use of wetlands in all Contracting Parties into national, provincial and local planning and decision-making on land use, groundwater management, catchment/river basin and coastal zone planning, and all other environmental planning and management.
- **Operational Objective 2.4:** To provide economic evaluations of the benefits and functions of wetlands for environmental planning purposes.
- **Operational Objective 2.5:** To carry out environmental impact assessments (EIAs) at wetlands, particularly of proposed developments or changes in land/water use which have potential to affect them, notably at Ramsar sites, whose ecological character "is likely to change as the result of technological developments, pollution or other human interference" (Article 3.2 of the Convention).
- **Operational Objective 2.6:** To identify wetlands in need of restoration and rehabilitation, and to implement the necessary measures.
- **Operational Objective 2.7:** To encourage active and informed participation of local communities, including indigenous people, and in particular women, in the conservation and wise use of wetlands.
- **Operational Objective 2.8:** To encourage involvement of the private sector in the conservation and wise use of wetlands.
- **Operational Objective 3.2:** To develop and encourage national programmes of Education and Public Awareness (EPA) on wetlands, targeted at a wide range of people, including key decision-makers, people living in and around wetlands, other wetland users and the public at large.
- **Operational Objective 4.1:** To develop the capacity of institutions in Contracting Parties, particularly in developing countries, to achieve conservation and wise use of wetlands.
- **Operational Objective 4.2:** To identify the training needs of institutions and individuals concerned with the conservation and wise use of wetlands, particularly in developing countries, and to implement follow-up actions.
- Operational Objective 5.1: To maintain the ecological character of Ramsar sites.
- **Operational Objective 5.2:** To develop and implement management plans for all Ramsar sites, consistent with the Convention's Guidelines on Management Planning and emphasizing involvement of local communities and other stakeholders.
- **Operational Objective 5.3:** To obtain regularly updated information on wetlands of international importance, in accordance with the approved standard format.
- **Operational Objective 6.2:** To increase the area of wetland designated for the List of Wetlands of International Importance, particularly for wetland types that are underrepresented either at global or national level.
- **Operational Objective 7.1:** To identify international and/or regional needs for managing shared wetlands and shared catchments, and develop and implement common approaches.

The maximum amount to be requested from the SGF for each project should not normally exceed -Swiss FrancsFr.\_40,000.

#### **Emergency** assistance

Eligible Contracting Parties (see <u>HeadingSection I</u>I above) may request emergency assistance for work in connection with wetland sites included in the List of Wetlands of International Importance

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which have suffered damage or are in imminent danger of damage as the result of technological developments, pollution, other human interference or natural phenomena such as hurricanes or drought.—Such assistance may be made available to draw up an emergency plan to safeguard the site or in order to take urgently needed actions.—The maximum amount to be requested from the <u>SGF</u> for each emergency assistance project should not normally-exceed SFr. 40,000.— Emergency assistance can be sought at any time and the decision on such allocations is made jointly by the Chairperson of the Convention's Standing Committee, the Chairperson of the Standing Committee's Sub-group on Finance, and the Secretary General of the Convention.

## Preparatory assistance

Exceptionally, eligible countries (see Section Heading-I above) that are not yet Contracting Parties to the Convention, but have clearly signalled their intention to accede, but are not yet Parties to the Convention may apply for a grant from the SGF to support activities necessary for the identification, boundary delineation, and mapping of the first site to be included on the List of Wetlands of International Importance, as required for accession to the Convention.—.The maximum amount for each preparatory assistance project is fixed at SFr. 25,000.—.It should be noted that if resources in the SGF are scarce, Note — that in recent years such assistance will receive has been given lesser has been given a lower priority than assistance to Contracting Parties.-due to financial constraints placed on the SGF.

#### IV.-\_Funds available for annual allocation

The SGF is administered by the <u>Ramsar</u> Bureau (the <u>Convention's secretariat</u>) under the supervision of the <u>Subgroup on Finance of the Standing Committee</u>, pursuant to the Terms of Reference for the Financial Administration of the Convention.

The Fund is financed from voluntary contributions and any additional revenues received by the <u>Ramsar</u> Bureau, and so the year-\_to\_-year allocations cannot be guaranteed.-<u>Each year, t</u>The <u>Ramsar</u> Bureau undertakes an active fund-raising campaign with the support of the Contracting Parties, in particular the Regional Representatives in the Standing Committee, and the <u>International</u> <u>Organization PNGO partners</u> of the Convention.

The Ramsar COP has established as a target for SGF funding of one million US dollars per year, but unfortunately this target has not yet been reached for any year.

## V. Reporting to donors

To report to the donors and for general promotion of the SGF the Ramsar Bureau prepares for each year of SGF an Allocation Report. This report relies principally on project evaluations as they are submitted. Allocation Reports for each year are also available through the Convention's Web site.

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Important note — To assist the Bureau with its evaluation and reporting to donors, each project funded is requested to provide with their Final Report (see Attached) suitable photographs illustrating the activities undertaking. Final reports are also requested in electronic, as well as hard copy format.

# VI.-\_\_\_SGF project cycle

The typical SGF calendar is displayed in Table 1 and sets out the responsibilities of the Ramsar Bureau and those of the Contracting Parties within the complete cycle of an SGF project. The letters steps A, B, C, D through to J indicates the sequence of the events. The requirements of each stage are explained in the following pages using the same letters for ease of reference.

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	Table 1. SGF Calendar			Formatted	
Ramsar Bureau and Standing	Time Frame	Contracting Party		Formatted	
Committee rResponsibilities		<u>r</u> Responsibilities	$\frown$	Formatted	
A	October of each year After			Formatted	
Eligible Contracting Parties are invited	Standing Committee			Formatted	
by Diplomatic Not <u>erfication</u> to submit project proposals.	Meeting			Formatted	
	December/	В		Formatted	
	<u>January Month</u>	Drafting project			
	1	<u>concepts/</u> proposals			
	February/				
C	<u>March</u> Month			Formatted	
Advisory service	2	D		Formatted	
		Submission of the proposals before 31 March			
E	<u>April/</u>			Formatted	
Project assessment by Bureau	<u>July</u> Months				
	<del>4-9</del>				
F	October/			Formatted	
Consideration of Bureaua	<u>November</u> Month				
recommendnations by the Meeting of	<del>10</del>				
Standing Committee Subgroup on					
Finance and <u>final decision by</u> the					
Standing Committee meeting where decisions are taken on the projects to					
be funded					
G	November/		_	Formatted	
Preparation of <u>agreements</u> <del>contracts</del> &	December/			Tormatteu	
transfer of first payments	<u>IanuaryMonth</u>				
1 2	11				
1	<u>OneNine-year months</u>	Н		Formatted	
Comments and follow-up and transfer	-after receipt	Progress Report		Formatted	
<u>of last payment</u>	-of the first payment <del>(at</del>				
77	the latest)	Ŧ			
K	Six months	Einel Descrit	<	Formatted	
Evaluation of the completed projects	-after the completion -of the project	Final Report		Formatted	
	(at the latest)				

# **Explanatory Notes**

#### A.-\_\_Notification

Each year, and <u>normally</u> not later than 31 October-(in most years – this can change depending on the timing of the Standing Committee meeting), the <u>Ramsar</u> Bureau, by means of a Diplomatic Note addressed to all eligible countries and copied to the Administrative Authority of the Ramsar Convention in the <u>country-countries</u> concerned, invites applications to the SGF, with a deadline for receiving them at the Bureau of 31 March of the following year. Along with this notification, the <u>eligible</u> countries <u>will</u>-receive <u>copies of</u> these Operational Guidelines and its attachments., the

"Request for Funding Form" and "Form A". These materials are also available in the Convention's Web site at any time.

## B.-\_\_Formulation of project proposals

All project proposals MUST be submitted using the format <u>attached to presented in</u>-these Operational Guidelines.—<u>This</u> "Request For Funding<u>Form</u>" contains both the detailed project proposal and <u>Project Summary</u> "Form A"<u>, that is the Form summary of the project, which that should be</u> endorsed by the Ramsar Administrative Authority in the country.

It should be <u>Please</u> note<u>d</u> that:

- Projects should, in general, be implemented within a one-year period.
- Countries are unlikely to gain support for more than one project in anyeach year because of
  the lack of sufficient funds available to the SGF. Thus, if a country is submitting more than
  one project, the Administrative Authority should indicate to the Ramsar Bureau which
  project one of them constitutes itsshould be given the highest priority., and so, are urged to
  consider submitting just one or at most no more than three projects in each year.

#### C.-\_\_Advisory service of the Ramsar Bureau

The Ramsar Bureau offers an advisory service to those <u>developing projects that proponents</u> <u>developers</u> wishing to seek <u>guidanceadvice and assistance with project preparation of project</u> <u>proposals</u>. In order to do this, <u>proponents project developers</u> are invited to submit draft <u>detailed</u> project proposals <u>or project concepts</u> to the Bureau by 31 January each year.

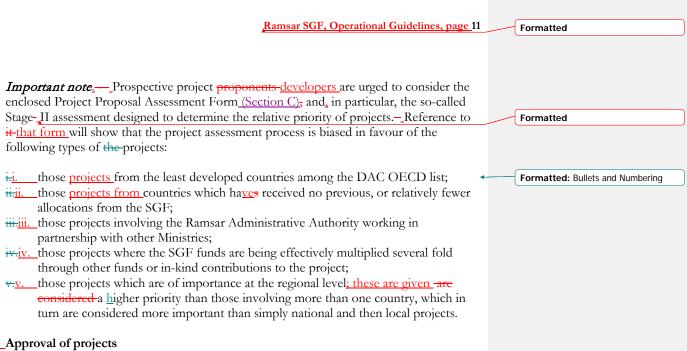
## D.-\_\_Submission of project proposals

Applications MUST <u>be</u> submitted <u>their projects</u> using the "Request For Funding<u>Form</u>" and <u>Project Summary Form Form A</u>" formats as prescribed in these Operational Guidelines.—ALL projects must be endorsed by the head of the Administrative Authority of the Ramsar Convention in the country concerned (see <u>Stage Step B in Table 1</u>).—Only project proposals with the endorsement of the Administrative Authorities will be considered for assessment. Formal submission of projects <u>is must be made</u> by 31 March<u>in most years</u>.

**Exception**: Emergency assistance projects may be submitted at any time of the year.—Once the <u>Ramsar</u> Bureau has undertaken a technical and feasibility analysis of the proposal, the Secretary General shall seek the advice of the appropriate Regional Representatives on the Standing Committee, and, following this, the Secretary General, the Chairperson of the Subgroup on Finance, <u>and</u> the Chairperson of the Standing Committee will take a decision, usuaaully\_within a maximum of three months of having received the request.

#### E.-\_\_Assessment-\_of projects

The <u>Ramsar</u> Bureau <u>shall</u>-make<u>s</u> a technical and feasibility assessment of all projects received using the Project Proposal Assessment Form (<u>see Section C</u>, <u>--as</u>-attached)-<u>adopted by the <u>Standing Committee</u>ubgroup on Finance.-\_During this process, the Bureau may invite views, as appropriate, from members of the Convention's Scientific and Technical Review Panel (STRP), and may seek the advice of the Regional Representatives in the Standing Committee.-<u>If so</u> <u>required</u>When appropriate, the Bureau may also seek the <u>technical advice opinion</u> of the Convention's International Organize ation Partners (BirdLife International, IUCN, Wetlands International, and WWF International).-with respect to certain projects.</u> Formatted



The <u>Ramsar</u> Bureau <u>will</u>-submits its recommendations for funding to the Regional Representatives in the Standing Committee from regions where requests for funding have been received; this occurs at least two2 weeks in advance of the dispatch ofing these recommendations to the Standing Committee in anticipation of its annual meeting (which is normally held in October/November). the annual meeting of t This allows Regional Representatives to express their views on the recommendations prepared by the Bureau before they are dispatched to all Standing Committee memebers.— The Standing Committee's Subgroup on Finance of the Standing Committee considers the Bureau's recommendations together with any views expressed by the Regional Representatives at its meeting prior to the Standing Committee meeting, and in turn transmits its recommendations to the Committee for final decision.

\_which in turn will submit its recommendations to the Standing Committee meeting following immediately thereafter, for final decision.

*Important Note.* <u>— Ramsar The 7<sup>th</sup> Meeting of the COP7</u> (San Jose, Costa Rica, May 1999), through its Resolution VII.-5, decided that in future years the Standing Committee should make funding for new projects conditional upon satisfactory compliance with reporting requirements for previous grants to the same country under the Fund (see H and J below).

## G.-\_\_Transfer of funds grants

The Ramsar Bureau prepares the <u>agreementscontracts with the for</u>-Ramsar Administrative Authorities receiving project funding (see <u>sectionHeading-VI below</u>). They receive an initial payment of 80% <u>upon receipit by the Bureau of the aggreement duly signed</u>. of the full project grant at the time of the signing of the contract. The 20% balance of the funds is retained pending the submission of an acceptable <u>Pprogressfinal\_reportReport</u> (see Section D, <u>—attached</u>), which should include a statement of expenditure for the funds provided.

# H and J.-\_Progress <u>R</u>report and Final <u>R</u>report

Each recipient agency <u>iswill be</u>-required to provide a <u>Progress R</u>eport on <u>the implementation of</u> progress achieved as a result of the assistance received from the SGF-funded projects – an interim,

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or Progress report <u>at the latest one yearnine months</u> after receipt of the first payment, and/or a Final <u>R</u>report up to six months after completion of the project. The <u>Convention has</u> standard forms for both the Progress and Final Reports <u>are attached (Sections D and E)as enclosed</u>.–<u>In the case of bothBoth</u> Progress and Final Reports, these MUST be submitted with the endorsement of the Ramsar Administrative Authority in the country.

*Important note*.— To assist the Bureau with its evaluation and reporting to donors, each project funded is requested to provide, with their Final Report, with copies of any materials produced under the project and suitable photographs illustrating the activities undertaken. Materials produced with SGF funding should acknowledge the origin of the funds.

-Final reports are also requested in electronic; as well as hard copy format.

In accordance with Ramsar COP7 Resolution VII.2, the Administrative Authorities of recipient countries are strongly urged to consider involving their nominated National Focal Point for the Convention's Scientific and Technical Review Panel (STRP) in SGF project monitoring and evaluation.

The person responsible for executing the project is encouraged to maintain regular contact with the appropriate Regional Coordinator and Assistant in the Ramsar Bureau and the designated focal point in the Ramsar Administrative Authority.

In accordance with Resolution VII.2, the Administrative Authorities of recipient countries are strongly urged to consider involving their nominated National Focal Point for the Convention's Scientific and Technical Review Panel (STRP) in SGF project monitoring and evaluation.

#### I and K.-\_\_Follow-up by the Ramsar BBureau

The Ramsar Bureau reviews Progress and Final <u>R</u>reports, the latter <u>being reviewed usingwith the</u> <u>use of</u> the adopted Project Evaluation Form<u>as attached (Section F)</u>enclosed. During <u>implementation</u>, and after projects are completed, Bureau staff follow up and monitor SGF projects.—Where possible, <u>when travelling to countries that have received SGF funding</u>, they visit project sites and meet with those responsible for project implementation<del>, when travelling to countries which have received SGF funding</del>.

VI.---Terms of Agreement to be concluded with agencies receiving SGF assistance

An agreement will be concluded between the <u>Ramsar</u> Bureau and the Administrative Authority of the Convention in the recipient country, or an appropriate agency or organization designated by the Administrative Authority to administer the funds on <u>their its</u> behalf.—In this <u>agreement</u> the following will be set out:

- <u>i)</u> the extent and purpose of the financial assistance granted;
- <u>ii)</u> the obligations of the recipient agency;

iii) the obligation of the recipient agency to mark all equipment and all products arising

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----from assistance provided under the Fund with the Ramsar Convention name and logo.

The Bureau, in agreement with the Administrative Authority of the recipient country, shall be allowed to visit the project site and/or the project implementing agency or agencies, and/or to designate one of the partners or collaborators to do so on its behalf, in order to have a first-hand appraisal of the progress made during implementation and/or the results obtained after completion of the project.

These agreements are governed by Swiss law.

VII.- Reporting to donors

To report to the donors and for general promotion of the SGF, the Ramsar Bureau prepares for each year an Allocation Report, which is also available through the Convention's Web site.

In addition, the Ramsar Bureau provides donors with summary reports of project results prepared on the basis of the Final **Rr**eports received from the beneficiaries.

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#### Section B

CONVENTION ON WETLANDS (Ramsar, Iran, 1971) Rue Mauverney 28, CH-1196 Gland, Switzerland Tel. +41-22-999-0170, Fax +41-22-999-0169<sub>7</sub> E-mail: ramsar@ramsar.org, -Worldwide-Web: http://ramsar.org/

> Ramsar Small Grants Fund for Wetland Conservation and Wise Use (SGF)

# <u>Section B</u> <u>Request for Funding -</u> <u>REQUESTS FOR FUNDING</u>

#### I. Summary of project and endorsement of the project application

Please complete and enclose both the"Project Summary and Endorsement Form" (follows in this section) with your Project Application Form.– PleaseKindly use **only** the space provided on the form for each question.– Thank you.

# II. Details of proposal

Please use a maximum of **five (5) pages** (A4 size paper) to provide detailed information on your proposal, following the headings and instructions given below. <u>Please-Kindly type</u> (or print clearly), do not use margins less than 1.5 cm and letters smaller than the10-point font size-used in this document (10 pt). Thank you.

## A. The problem(s) to be addressed

In this section identify the problem(s) that can be usefully addressed by this project. Determine the underlying causes of the problem(s) and the aspects that can be addressed by this proposal and SGF support.

## B. Background and justification

Background information should include sufficient factual and descriptive information to put the problem(s) in its- local, provincial, national, or regional context. It should include relevant sectoral information, government objectives, policies, plans and ongoing programmes. The amount of background information presented should be limited to that which is essential to understand the current circumstances. If the project would take placewill be carried out at a certain location(s), the site(s) should be clearly described and ideally a map should be attached to show the location of the site(s). The justification information should identify the beneficiaries of a solution to the problems to be addressed and the rationale for SGF support.

C. C. Objective(s)

General Objective(s):

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<u>Please study the Ramsar Convention Work Plan– 2000-2002 and identify and indicate the issues</u> you are addressing in this project, and to which general and operational objectives of the Plan they relate.

# Specific Objective(s):

# D. Outputs

Outputs are results or products of the project to achieve an objective. Several outputs may be necessary to enable the achievement of an objective. The vocabulary used should describe the finished products or a completed result, e.g., "a feasibility study", "trained personnel", "a management plan".

# E. Activities

Explain all the activities that will be undertaken to produce each output. Explanation of the activities should be detailed and analytical so that at the end of this section the methodology of the project is clear. The vocabulary should describe actions that will be taken, e.g.; "implementation of training", "consultation with ministries", "earrying out conducting seminars".

<u>Objectives</u>	<u>Outputs</u>	Activities

## F. Work Plan

A schedule should be presented for production of all the outputs of the proposed project over during the life of the project. This work plan should be prepared indicate in the order that which activities will be undertaken; in orderso as to show the sequence and relatednessionship between activities.

		Month										
<u>Outputs &amp;</u> <u>Key Activities</u>	<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	Z	<u>8</u>	<u>9</u>	<u>10</u>	<u>11</u>	<u>12</u>
Output 1 Activity 1:												
Activity 2:												
<u>Activity 3:</u>												
Activity 4:												

## G. Follow Up

Intended follow-up after completion of the project timetable.

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H. Budget The SGF provides a maximum of Sfr 40,000 per project, with a maximum of Sfr 25,000 for "Preparatory Assistance". Indicate costs in local currency AND IN SWISS FRANCS - not in any other currency. Please distinguish between the amounts to be covered by the SGF grant and by counterpart funding (if any). If there is a counterpart funding, please indicate if whether the funds fund money have been secured? And, if so, from which organiszation these funds will come 2. Also, distinguish very clearly between counterpart funds coming from, or proposed to come from, sources within and from outside the country, Formatted In this section a detailed budget should be presented (please give the unit costs). All items (especially salary costs) for which support is requested from the SGF must be justified in the activity section. Present the budget for a one-year period. The SGF contribution should be spent during a one-year period, according to in line with the work plan. Output Salariesv Sub-Training Travelnsp Miscel. **Total Cost** Equipcontracts <u>ment</u> ort Output 1 Output 2 Totals SFr. Salaries: such as for staff that have to be employed for the projectscientific consultants.... Ssubcontracts: external assistance for any activity of the project other than the salaries referred to above.scientific consultants e.g. publication. Equipment: durable equipment such (e.g. computers), etc. Training: seminars, workshops, roundtables, etc. Travelnsport: travel costs, etc. -Miscellaneous: such as consumables, (e.g. books and stationary, printing costs<del>),</del> etc. As indicated above, ia)-If it is proposed that the project also the proposal is a single project and Formatted receives funding from sources other than the SGF, or forms part of a larger project, other than the SGF, please list these other sources of funding, financial and in-kind, (including government input), give a broad break down of these funds, and indicate whether these sources are from in-Formatted country or external in origin. Formatted b) If the proposed project is part of a larger project, please explain briefly the financial and inkind contribution of other sources (including government input) to the main project. Project Management Arrangement A plan for management and implementation arrangements of the project should be prepared. The Administrative Authority of the country seeking the funds has the overall responsibility and L SGF Guidelines, page 30

legal accountability for the management-implementation of the project. This section should include information on the agency or body executing the project and describe institutional and technical capacities to undertake the work. proponents to executexecutore the project

J. Bibliography Please only quotelist only key references.

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Section B -continued

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<u>CONVENTION ON WETLANDS (Ramsar, Iran, 1971)</u> Rue Mauverney 28, CH-1196 Gland, Switzerland T <u>cl: +41-22-999-0170, Fax: +41-22-999-0169, E-mail: ramsar@ramsar.org</u>	
Worldwide Web http://ramsar.org/ CONVENTION ON WETLANDS (Ramsar, Iran, 1971)	
Rue Mauverney 28, CH-1196 Gland, Switzerland	
Tel. +41-22-999-0170, Fax +41-22-999-0169 <sub>7</sub>	
<u>E-mail: ramsar@ramsar.org</u> , - <del>Worldwide</del> -Web: http://ramsar.org/	
Ramsar Small Grants Fund for Wetland Conservation and Wise Use (SGF)	
Section B continued	
<b>Project Summary and Endorsement Form</b>	
PROJECT SUMMARY AND ENDORSEMENT FORM	
a. Country requesting support:	
<b>b.</b> Title of project proposal:	
<b>c.</b> Category of assistance requested (Please consult the Operational Guidelines and indicate one option only)	
o ⊟Implementation of the Ramsar Strategic Plan 1997-2002.– Please indicate relevant Operational Objective(s):	Formatted
o <u>→ Emergency assistance</u> o <u>→ Preparatory assistance</u>	 Formatted Formatted
<u>b</u> <u>preparatory assistance</u>	Formatteu
d. If the financial support sought from the Small Grants Fund is requested to carry out part of a larger project, please indicate:	
• Title of the main project:	Formatted: Bullets and Numbering
Duration of the main project:	Formatted: Bullets and Numbering
• Total cost of the main project:	 Formatted: Bullets and Numbering
• Have the main project and the other funds been approved: 0 Yes 0 No +	 Formatted: Bullets and Numbering
<ul> <li>If yes, please indicate the sources of these funds, showing whether the source is in- country or external:.</li> </ul>	Formatted: Bullets and Numbering
e. If the proposed project is a stand-alone activity please indicate:	 Formatted

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<ul> <li>Duration of the activities to be covered by</li> </ul>	SGF grant:	Formatted: Bullets and Numbering
• Amount requested from the Ramsar Small	Grants Fund:	Formatted: Bullets and Numbering
Other financial contributions (including in country or external sources:	n-kind) – indicate whether these are from in-	Formatted: Bullets and Numbering
if the financial support sought from the Small Gra	nts Fund is requested to carry out part of	Formatted
Harger project, please indicate: — Title of the main project:		
Duration of the main project:		
<u> — Total cost of the main project:</u>	SFr.	
—— —— Have the main project and the other funds		
	funder	
<u>Hyes, please indicate the sources of these</u>	Tunus	
Teal a training and a second		
<u>If the proposed project is a stand-alone activity</u>	<del><sup>7</sup> please indicate:</del>	
	SGF gri	
	Grants P1	
-	SFr	
<u>— Other financial contributions (including in</u>	<del>1-kind):</del>	
Name and address (including phone / fax number	rs and e-mail address) of the institution or	Formatted
person responsible for preparing the project:		
. Name and address (including phone/fax number	reard a mail address) of the institution or	
person responsible for implementing the project		
ame and address (including phone/fax numbers and	e-mail address) of the institution or person	
responsible for executing the project:	e-mail address) of the institution of person	
		Formatted
I. <u>Summary (maximum 500 words)</u>		Formatted
	SGF Guidelines, page 30	

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Describe background, justification, objectives and intended follow-up. Indicate if the project
will be carried out in a Ramsar site(s) or how it otherwise contributes to the implementation
of the Ramsar Strategic Plan 1997-2002 and the fulfilment of its objectives:

d. Endorsement of this project application by the Ramsar Administrative Authority:

Institution/Agency:

Institution/Agency:

Name/Title:

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	<u>Ramsar SGF, Operational Guidelines, page</u> 22	Formatted
Date:	Signature:	Formatted
<u>Please return this form along with th</u> <u>Small Grants Fund (SGF). Rar</u> 28 Rue Mauverney, CH-1196 Gland, Switzerland. Fax	msar Convention Bureau,	

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## Section C

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CONVENTION ON WETLANDS (Ramsar, Iran, 1971) Rue Mauverney 28, CH 1196 Gland, Switzerland Tel: +41 22 999 0170, Fax: +41 22 999 0169, E mail: ramsar@ramsar.org

Worldwide Web http://ramsar.org/

Ramsar Small Grants Fund for Wetland Conservation and Wise Use (SGF)

Section C

# -Project Proposal Assessment Form PROJECT PROPOSAL ASSESSMENT FORM

# SUMMARY ummary

Country:						
Project code:	SGF/year/country initials/consecutive number of multiple country SGF/2000//	projects su	bmitted by	<u>the</u>		
Project Title:						
* /	been submitted previously?	<u>Ves</u>		No		
<u>If- YES, what y</u> <u>If part of a large</u>	er project, what is the total cost of the overall project:	<u>A</u>		<u>C</u> <u>SFr.</u>		
Amount reques	ted from SGF: nterpart financial contribution from proposer:			<u>SFr.</u> SFr.		
In-kind support	being provided from proposer:			<u>SFr.</u>		
•	ncial contribution(s) from other in-country sources: ncial contribution(s) from other sources external to the			<u>SFr.</u> SFr.	Formatted	
	: being provided from other sources:			<u>SFr.</u>	Formatted	
	Total Project Budget		<b>Å</b>	<u>SFr.</u>	Formatted	
	sessed as feasible subject to minor improvements -(Stage I)	□ Yes □ Yes		<u>No</u> No		
	s overall project rating (Stage II) Co-ordinator and Assistant for the appropriate region)	A	B		Formatted Formatted	
					Formatted	

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Second assessors overall project rating					
(Combined view of the Secretary General and Deputy Secretary General)	A	B	C		Formatted
Overall rating to be recommended to Standing Committee				$\sim$	Formatted
<u> by the Bureau</u>	<u>A</u>	<u>B</u>	<u>C</u>	$\searrow$	Formatted
Primary reasons for rating given:				$\backslash \rangle$	Formatted
<u>1 Innary reasons for family given.</u>					Formatted

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Assessment Procedure SSESSMENT PROCEDURE	l I		Formatted
The assessment procedure is a two stage process as follows:			Formatted
Stage I considers the feasibility of the project by reviewing the objectives, propose			
outputs, budgetary information and the capacity of the proposer to complete the p			
the overall conclusion of the Bureau that the project does not meet expectations in			
review, then it will not be further considered. – Those projects which are considered			
then pass through for review in Stage II, which considers a range of factors in order indication of relative priority for funding.	er to give an		
indication of relative priority for funding.			
STAGE tage I			Formatted
Review of objectives, methodology, budgetary and capacity in	formation		Formatted
REVIEW OF OBJECTIVES, METHODOLOGY, BUDGETARY AND			
INFORMATION			
In the following review, the questions are intended to help the reviewer to determine	e if the project		
has appropriate objectives, proposes to apply suitable methods and activities, has a c	· · ·		
and appropriate budget, the proposer has the necessary capacity to carry out the proj			
therefore feasible The reviewer is expected to indicate Yes or No in response to ea			
and then for each question in sections A, B, C, and D, and then in Section E to indic			
assessment about the feasibility of the project based on the assessments in the forego			
If there are more than two "no" responses given in any section, then serious conside	ration needs to		
be given to rating the project as unsuitable under that section of review.			
A. Objectives and outputs: are the objectives and beneficiaries well defined?		1	
1. Is (are) the problem(s) to be addressed identified?	<u>Yes / No</u>	-	
2. Is the background of the project satisfactory?	Yes / No	-	
3. Are the justification and beneficiaries of the project clearly stated?	Yes / No		
4. Are the general objectives clearly related to the priorities as stated in the	Yes / No	-	
Ramsar Strategic Plan-?			
5. Are the specific objectives clearly defined?	<u>Yes / No</u>	-	
6. Are the outputs consistent with the relevant objective Is there an output	<u>Yes / No</u>	-	
indicated for each objective?			
7. Are the outputs suitable to achieve the objectives?	<u>Yes / No</u>	-	
Assessment - the beneficiaries, objectives and outputs are well defined	<u>o⊟ Yes− ⊟o</u>		Formatted
	No		Formatted
		1	Formatted
<b>B.</b> – Activities: are the activities for achieving the objectives well defined and ap	,	-	
<ol> <li>Are the activities described adequately?</li> <li>Is the methodology clear Are the methods for undertaking each activity</li> </ol>	<u>Yes / No</u>	-	
<u>described clearly?</u>	<u>Yes / No</u>		
3. Are the activities proposed appropriate to attain the indicated output-?	<u>Yes / No</u>	-	
4. Is the work plan described adequately?	Yes / No	-	
5. Is the work plan appropriate to attain the indicated outputs?	Yes / No	-	
6. Does the project include monitoring and evaluation procedures to ensure the	Yes / No	-	
objectives are met?			
7. Where appropriate, have literature reviews been undertaken?	<u>Yes / No</u>	/	Formatted
Assessment - the methods proposed for achieving the objectives are suitable	o = Yes - o	$\bigvee$	Formatted
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	<u>=No</u>	

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C Suitability of the budget and adequacy of information on budgeting	N7 / NT		
Is there a budget with itemized lists of costs-?	<u>Yes / No</u>		
2. Does the budget provide justification for each budget item?	<u>Yes / No</u>		
<ul> <li>3. Is the budget proposed appropriate for the activities envisaged?</li> <li>4. Where applicable, does the budget distinguish between amounts to be covered</li> </ul>	<u>Yes / No</u> Yes / No		
by the SGF grant, and amounts with confirmed (or proposed) financing by	<u>res / no</u>		
<u>counterpart funding and by</u> other sources (either cash or in-kind contributions)?			
counterpart funding and by other sources (either easil of in-kind contributions):			
Assessment - the budget information is adequate and the	<u>o</u> ,⊟Yes– o		Formatted
proposed budget appropriate	⊣No		Formatted
	I <del></del>		
D Capacities needed to see the project completed: of the proponent to carry o	ut the work		
Is a project management structure defined, so that it is clear who is responsible	<u>Yes / No</u>		
for the various aspects of the proposal?			
2. Does the proposed executor of the project have proponents executor, the	<u>Yes / No</u>		
institutional and technical capacity to carry out the proposalject?			
3. Is there any risk of hindrance of the project process after its approval Given the	<u>Yes / No</u>		
current political and administrative environment in the country is the assessor			
confident of the project being undertaken/completed?			
Assessment - the capacity information indicates the project should be able to proceed	<u>o =Yes- o</u>	<	Formatted
<u>nocccu</u>	<u>-No</u>		Formatted
Overall assessment - Project feasibility:			
On balance does the project meet the expected standards under Sections:	XXX / NT		
<u>–A (Objectives)</u> , P (Astronomic Marsthada)	<u>¥Yes / No</u>		
<u>-B (Activities and <del>M</del>methods),</u> -C (Budget),	<u>Yes / No</u> Yes / No		
- <u>C (Budget),</u> -D (Capacity)	Yes / No		
<u>-D (Capacity)</u> Based on the above ratings this project is: Overall assessment -			
he project is feasible given the assessments above	<u>-Yes No</u>		
feasible	o⊟		Formatted
feasible subject to minor improvements			Formatted
not feasible	<u>0</u> 0,⊒		
	VE		Formatted
If the overall assessment indicates that the project is not feasible	*		
do not proceed to the Stage II review.			Formatted
at her provou to uno otage in torioni			
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Determination of relative prior	rity for funding support		
DETERMINATION OF RELATIVE PRIC	RITY FOR FUNDING SUPP	<del>DRT</del>	
A. Economic status of the country (10 points)	11.1	· · · · · · · · · · · · · · · · · · ·	
1. The country is included in Part I of the DAC List		<u>oints)</u>	
the threshold for World Bank Loan eligibility.	Yes	<u>/ No</u>	
TOTAL			Formatted
B. Previous SGF projects in the country concerned	=		
<u>1. No previous projects</u>	· · · · · · · · · · · · · · · · · · ·	<u>oints)</u>	
	Yes	<u>/ No</u>	
2. Previously funded once	<u>(5 pc</u>	· · · · · · · · · · · · · · · · · · ·	
	Yes	<u>/ No</u>	
3. Previously funded twice or more	<u>(0 pc</u>	<u>oints)</u>	
	Yes	<u>/ No</u>	
<u>TOTAL</u>			Formatted

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. Capacity building elePromoting multisectoral ments approaches of the	he project (max.	
points)	, <u>`</u>	
The project will promote cooperation between the be implemented by	<u>(10 points)</u>	
the Ramsar Administrative Authority in partnership withand one or	Yes / No	
more other Ministries.		
The project will promote cooperation between will be implemented by	<u>(7 points)</u>	
the Ramsar Administrative Authority in partnership with and one or	Yes / No	
more other organiz <del>s</del> ations.		
The project will be implemented executed by the Ramsar	<u>(3 points)</u>	
Administrative Authority acting on its own.	<u>Yes / No</u>	
The project will be implemented executed by an organizsation other	<u>(0 points)</u>	
than the Ramsar Administrative Authority.	<u>Yes / No</u>	
TOTAL		Formatted
Leverage effect of the SGF funds (max. 10 point)		
The SGF funds represent less than one third of the total project	<u>(10 points)</u>	
budget (including in-kind contributions)	<u>Yes / No</u>	
The SGF funds represent approximately half of the total project	<u>(7 points)</u>	
budget including in-kind contributions	<u>Yes / No</u>	
The SGF funds are providing more than 75% of the total project	<u>(3 points)</u>	
budget (including in-kind contributions)	<u>Yes / No</u>	
The SGF funds are providing all of project budget	<u>(0 points)</u>	
	<u>Yes / No</u>	
TOTAL		Formatted

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<ul> <li><u>E. Scope of project- (max: 10 points)</u></li> <li>1. Is it a project with implications for the whole of a Ramsar region or</li> </ul>	(10i - t -)		
1. Is it a project with implications for the whole of a Kamsar region or sub-region?	<u>(10 points)</u> Yes / No		
2. Is it a mult <del>t</del> i-lateral project carried on by two or more countries?	<u>(7 points)</u>		
	<u>Yes / No</u>		
3. Is it a national project, which will have national implications?	<u>(5 points)</u> Yes / No		
4. Is it a project with local relevance only?	<u>(0 point)</u>		
	Yes / No		
TOTAL		Formatted	)
Total score out of a possible maximum of - 50 in stage II		Formatted	
Total score out of a possible maximum of 50 m stage m		Tornatted	)
Overall rating for funding priority:			
Based on the above ratings and total score this project is considered:			
A - high priority for fundinghigh priority for funding.B - medium priority for fundingmedium priority for funding.	<u>(A)</u>		
<u>b - incurant priority for funding</u> low priority for funding.	<u>(B)</u> (C) □		
priority for funding			
De la colorada			
Reviewer's comments:			
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Section D	
CONVENTION ON WETLANDS (Ramsar, Iran, 1971)	
Rue Mauverney 28, CH-1196 Gland, Switzerland Tel. +41-22-999-0170, Fax +41-22-999-0169 <sub>7</sub> E-mail: ramsar@ramsar.org, Worldwide-Web: http://ramsar.org/	
Ramsar Small Grants Fund for Wetland Conservation and Wise Use (SGF) Section D	
-Standard format for the preparation of progress reports STANDARD FORMAT FOR THE PREPARATION OF PROGRESS REPORTS	
PROGRESS REPORT FORM (to be filled in by the implementing agency/organiz <del>s</del> ation	
and endorsed by the Ramsar Administrative Authority) Country:	Formatted
Title of the Project:	
Project code:	Formatted
Year of approval:	Formatted
Total f Funds allocated:	
Funds received to date:	Formatted
Project officer (See section 56 for further details):	Formatted
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<del>SGF Guidelines, page 30</del>	

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#### Date progress report due:

## Date progress report provided:

#### 1. Abstract

<u>Please reporting on what has been accomplished so far (approx. 200 words). Please attach the abstract to this form.</u>

# <u>1.2.</u> —Progress with meeting projects objectives

In a separate page for *each objective* of the project please describe briefly the status of the progress, in terms of:

For each objective of the project, as stated in the application, indicate the progress which has been made:

Please provide a sObjective 1

Progress - Task completed, Task partially completed, No progress as yet Summary of action(s) taken for *each objective*. Formatted

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#### Objective 2

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Progress - Task completed, Task partially completed, No progress as yet Summary of action(s) taken -

#### Objective 3

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Progress - Task completed, Task partially completed, No progress as yet Summary of action(s) taken -

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23. Overall progress	
Overall, has the project made appropriate progress towards meeting the objectives within the	
time frame initially agreed upon? <u>o Yes o No</u>	Formatted
$\frac{1}{100} = \frac{100}{100}$	
If nNo, please indicate the reasons for any delays or proposed changes in project objectives.	
IT HINO, please indicate the reasons for any delays of proposed changes in project objectives.	
34. Budgetary details	
Please advise what amount of the funds received from the SGF for this project have been spent	
so far (in Swiss francs). <del>?</del>	Formatted
<del>Sfr</del>	
Have all of these the funds been spent in accordance with the project specifications and budget-?	
<u>Yes / No:</u>	Formetted
<u>o<del>P</del> Yes</u> <u>o<del>P</del> No</u>	Formatted Formatted
	Formatted
Hf nNo, please advise of where variations have occurred, the cost of these variations and provide a justification for this variation.	Formatted
45. Final report	
When can the project's final report be expected-?-	
<del>SGF Guidelines, page 30</del>	

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56. Project Officer (person responsible for implementatioexecuting n of the project)

÷-----

Name: Sig<del>ned</del>nature:

Institution:

Date:

Address: \_\_\_\_\_

# 7. 6. Endorsement Approved by the Ramsar Administrative Authority

Where the	projectio	beingung	Jortalian b	v other	than the	designator	Romear A	dministrative	
Where the	project is	bung un	acreaken b	y ounce	than the	designated	i ivanisai m	unninstrative	
	± /			-					
Authority	the proof	ecc report	should be	andors	ad by the	Head of	this agancy	department	~*
runointy,	the progr	Cos report	SHOULD DO	- CHUOIS	<del>ca by in</del>	- I ICadi OI	uns ageney.	ucpartment	$\sigma$
		<u>+</u>					,	±	
ministry.									

Name:

\_\_\_\_\_Sign<del>ed</del>ature:

<u>Administrative</u>

Authority: \_\_\_\_\_ Date::\_\_\_\_\_

D'ate...

Address: \_\_\_\_\_

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Section E		
CONVENTION ON WETLANDS (Ramsar, Iran, 1971)		
Rue Mauverney 28, CH-1196 Gland, Switzerland		
Tel. +41-22-999-0170, Fax +41-22-999-01697		
E-mail: ramsar@ramsar.org,		
Worldwide-Web: http://ramsar.org/		
Ramsar Small Grants Fund for Wetland Conservation and Wise Use (SGF)		
Section E		
Standard format for the preparation of Final Reports		
<u> </u>		
<u>STANDARD FORMAT FOR THE PREPARATION OF FINAL REPORTS</u>		
FINAL REPORT FORM		
(to be filled in by the implementing agency/organiszation		
and endorsed by the Ramsar Administrative Authority)		
Country:		
Title of the Project:		Formatted
The of the Project.		
Project code: Year of approval:		
V		Formatted
Year of approval: Project Officer (see Section 45 for further details):		
		Formatted
Date project was completed:         Date final report provided;		Formatted
Date final report provided: Have you enclosed some suitable photographs or colour slides illustrating the project ?		
Have you provide the Final Report in electronic as well as hard copy format?-?		
Have you enclosed:		
a) some suitable photographs or colour slides (with the necessary description) illustrating ← the project?- O⊟ Yes O⊟ No		Formatted: Bullets and Numbering Formatted
b) the copies of the materials produced with SGF funding (if applicable)?	$\leq$	Formatted
<u>o∃ Yes</u> <u>o</u> ∃ No	$\backslash$	Formatted
	$\frown$	Formatted
<b><u>1.</u></b> <u>Abstract</u> <u>Please reporting on what has been accomplished by the completed project (approxapprox. 300</u>	//	Formatted
words). Please attach the abstract to this form.		Formatted: Bullets and Numbering

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#### 2.1. Achievements against each project objective

In a separate page for *each objective* of the project, as stated in the project proposal, please indicate the output(s) in terms of: A) Task completed or ---- B) Task only partially completed or ---- C) Task not

A) Task completed or ----- C) Task only partially completed or ----- C) Task not attempted

Please provide a summary of action(s) taken for each *output*.

<u>For each objective of the project, as stated in the application, indicate the output(s)</u>. Where tasks were only partially completed or not attempted please provide the reasons for not fulfilling this expectation:

Objective 1

Task completed, Task only partially completed, Task not attempted Summary of action(s) taken -

Objective 2

Task completed, Task only partially completed, Task not attempted Summary of action(s) taken -

<u>Objective 3</u> <u>Task completed, Task only partially completed, Task not attempted</u> <u>Summary of action(s) taken -</u>

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23. Overall project outcomes		
		Formatted
Overall, has the project achieved what it set out to do-?ooo	<	Formatted
If nNo, please indicate the reasons for failing to meet the overall objective.         If nNo, please indicate the reasons for failing to meet the overall objective.         If not please indicate the reasons for failing to meet the overall objective.         If not please indicate the reasons for failing to meet the overall objective.         If not please indicate the reasons for failing to meet the overall objective.         If not please indicate the reasons for failing to meet the overall objective.         If not please indicate the reasons for failing to meet the overall object in the second to the project from the SGF spent in accordance with the project         Were all funds allocated to the project from the SGF spent in accordance with the project		rormatted
specifications and budget-?		Formatted Formatted
<u>-Yes / No:</u>		Formatted
<u>Has an expense account detailing these expenditures been provided ?</u> <u>Yes / No</u>		
If nNo, to either question please advise of where variations have occurred, the cost of these variations and provide a justification for this variation. Include this information in your <i>detailed</i> expenditure and income account report.		
If all funds were not spent please indicate below the amount of unspent funds being returned and recommend the possible uses for these funds -to the Ramsar Bureau of this money to be considered by which the -Ramsar Bureau can consider. unnecessary		
Unspent funds: Swiss francs		Formatted
	:	
<del>SGF Guidelines, page 3</del>	•	

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Unspent funds being returned to the Ramsar Bureau Sfr	
<b><u>45.</u> Project Officer</b> (person responsible for implementation of executing the project)	
Name:	
Sig <del>ned</del> nature:	
	Formatted
Institution:	
Date	(- · · ·
	Formatted
Address:	
56. Endorsement Approved by the Ramsar Administrative Authority	
Where the project is being undertaken by other than the designated Ramsar Administrative Authority, the final report should be endorsed by the Head of this agency, department or ministry.	
rutionty, the maineport should be endorsed by the ricad of this agency, department of ministry.	
Name and title:	
Sign <del>ed</del> ature:	Formatted
·	Formatted
Administrative	
Administrative Authority:	
Address:	
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#### Section F

**CONVENTION ON WETLANDS (Ramsar, Iran, 1972)** Rue Mauverney 28, CH-1196 Gland, Switzerland Tel. +41-22-999-0170, Fax +41-22-999-0169, E-mail: ramsar@ramsar.orgWorldwide Web http://ramsar.org/ **CONVENTION ON WETLANDS (Ramsar, Iran, 1971)** Rue Mauverney 28, CH-1196 Gland, Switzerland Tel. +41-22-999-0170, Fax +41-22-999-0169, E-mail: ramsar@ramsar.org Worldwide Web http://ramsar.org/ Ramsar Small Grants Fund for Wetland Conservation and Wise Use (SGF) Section F Standard format for evaluating completed projects-STANDARD FORMAT FOR EVALUATING COMPLETED PROJECTS **PROJECT EVALUATION FORM** (to be completed by the relevant Regional Coordinator of the Ramsar Bureau) **Project information: Country:** Formatted Title of the Project: **Project Code:** Year of approval: Formatted Formatted Total funds allocated: Formatted Project officer (person responsible for implementing the project): Formatted **Position**, Institution: Formatted Date progress report received: Date final report received: Formatted Reporting requirements 1. Was the report submitted with the endorsement of the Ramsar Administrative 1.1. Authority; \_\_\_\_ Yes / No

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<u>1.2. Was a satisfactory abstract provided:</u> Yes / No		
1.3.       If requested, wWere photos illustrating the second secon	ne project received:	
1.4. Was the overall presentation of the report	t satisfactory:—Yes	
1.5. Was an electronic copy of the report prov Yes / No	vided:	
2. Consultations between the Bureau, th Authority	e Project Officer and the Administrative	
During the project what level of contact was mai responsible for implementing the project and the Authority-?– Was it:		
	onal <del>– or</del> 🗌 only when	
3. Achievement against each project obj		
For each objective of the project (as stated in the achieved-? Fully, Partially, Not at all	ie-application project proposal) were the goals	
· · · · · · · · · · · · · · · · · · ·		Formatted
Objective 1: <del>- Fully, Partially, Not at</del> <del>all</del>	Objective 3:	
	<u>Objective 4:</u> <u>- Fully, Partially, Not at all</u> 	
Objective 2: <u>2 – Fully, Partially, Not</u>		
<u>at all</u> 	Objective 5:	
	<u>Objective 6:</u>	
4. Overall project outcomes		
<u>Overall, has the project achieved what it set out t</u> <u>Yes / No</u>	<u>o do-?</u>	
	SGF Guidelines, page 30	

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	are the reasons given for failing to meet the objectives acceptable, and why? which areas		
were n	ot addressed fully and what were the reasons for failing to meet the overall objective.		
If the <b>r</b>	easons given for failing to meet the objectives are not acceptable, describe what further		
	is recommended:		
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<u>5. I</u>	Financial accountability		
5.1	Was an acceptable (accurate and clear) expense account (in SFr.) detailing all		
	expenditures provided-?		
	<u>Yes / No</u>		
5.0			
5.2	Were all funds allocated to the project from the SGF spent in accordance with the		
	project specifications and budget-? Yes / No		
	<u> </u>		
	If no, were the variations which occurred acceptable and justifiable Yes / No		
	$\frac{11}{10}$ , were the variations which occurred acceptable and justifiable. $   \frac{1}{10}$		
	And, if all funds were not spent how will they used ? -Ramsar Bureau been informed		
	about the balance and agreed to its alternative use? unspent funds being returned to the		
	Ramsar Burcau.		
	<u>Yes / No</u>		
6.	Ramsar Bureau Project Officer		
Signed:			
Name:	Signature:		
D			
Date: <del>:-</del>			

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